



THE FUTURE

United States Patent and Trademark Office

PERFORMANCE & ACCOUNTABILITY REPORT

**FY
17**



OF INNOVATION



UNITED STATES
PATENT AND TRADEMARK OFFICE



FINANCIAL AND RELATED HIGHLIGHTS

(Dollars In Thousands)	% Change 2017 over 2016	For the year ended September 30, 2017	For the year ended September 30, 2016
Fund Balance with Treasury	(4.2%)	\$ 2,259,911	\$ 2,358,227
Property, Plant, and Equipment, Net	3.9%	523,842	504,025
Other Assets	7.1%	33,421	31,212
Total Assets	(2.6%)	<u>\$ 2,817,174</u>	<u>\$ 2,893,464</u>
Deferred Revenue	(2.5%)	\$ 936,854	\$ 960,398
Accounts Payable	8.8%	101,703	93,461
Accrued Payroll, Benefits, and Leave	4.3%	251,427	241,147
Other Liabilities	(0.9%)	149,638	150,936
Total Liabilities	(0.4%)	\$ 1,439,622	\$ 1,445,942
Net Position	(4.8%)	1,377,552	1,447,522
Total Liabilities and Net Position	(2.6%)	<u>\$ 2,817,174</u>	<u>\$ 2,893,464</u>
Total Earned Revenue	(0.9%)	\$ 3,105,346	\$ 3,133,370
Total Program Cost	2.4%	(3,193,411)	(3,119,584)
Net (Cost)/ Income from Operations	(738.8%)	\$ (88,065)	\$ 13,786
Budgetary Resources Available for Spending	(0.8%)	\$ 3,577,570	\$ 3,607,845
Net Outlays	(22.6%)	\$ 94,625	\$ 122,253
Federal Personnel	(1.1%)	12,588	12,725
On-Time Payments to Vendors	-%	99%	99%

PERFORMANCE HIGHLIGHTS

Performance Measures	FY 2017 Target	FY 2017 Actual	Performance Results*
Patent Average First Action Pendency (months)	14.8	16.3	Not Met
Patent Average Total Pendency (months)	24.8	24.2	Met
Trademark Average First Action Pendency (months)	2.5-3.5	2.7	Met
Trademark Average Total Pendency (months)	12.0	9.5	Met
Trademark First Action Compliance Rate	95.5%	97.3%	Met
Trademark Final Compliance Rate	97.0%	98.3%	Met
Exceptional Office Action	40.0%	45.0%	Met
Trademark Applications Processed Electronically	82.0%	86.5%	Met
Percentage of prioritized countries for which country teams have made progress on at least 75% of action steps in the country-specific action plans along the following dimensions: <ul style="list-style-type: none"> Institutional improvements of intellectual property (IP) office administration for advancing IP rights, Institutional improvements of IP enforcement entities, Improvements in IP laws and regulations, and Establishment of government-to-government cooperative mechanisms. 	75%	100%	Met
Number of Foreign Government Officials Trained on Best Practices to Protect and Enforce IP	5,000	4,134	Not Met

Average time to approval or final rejection: 9.5 mos.

* The performance result of a given measure is either met (100% or greater of target), slightly below (95-99% of the target), or not met (below 95% of target).